

Rigidity of the flag structure for a class of Cowen-Douglas operators

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Motivation

- Notation:-

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- Question:- Given $T_1, T_2 \in \mathcal{L}(\mathcal{H})$, when there exists a unitary operator U on \mathcal{H} such that $T_2 = U^* T_1 U$.
- In general, solution of this problem is not easy but for some special classes of operators one has affirmative answer.

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- Set of eigenvalues of a normal operator defined on a separable Hilbert space is at most countable.

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for $(\alpha_0, \alpha_1, \alpha_2, \dots) \in \ell^2(\mathbb{N})$.

$(1, \lambda, \lambda^2, \dots) \in \ell^2(\mathbb{N})$ for $|\lambda| < 1$, we see that

$$\mathbb{D} \subseteq \sigma_p(S^*) \subseteq \sigma(S^*),$$

where $\mathbb{D} := \{z \in \mathbb{C} : |z| < 1\}$,

$$\sigma_p(S^*) := \{\alpha \in \mathbb{C} : S^* - \alpha I \text{ is not one-one}\}$$

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$$\sigma(S^*) := \{\alpha \in \mathbb{C} : S^* - \alpha I \text{ is not invertible}\}.$$

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Definition

Given a bounded, open and connected subset Ω of \mathbb{C} and $n \in \mathbb{N}$, the Cowen-Douglas class $B_n(\Omega)$ consists of operators T satisfying the following conditions:

- (1) $\Omega \subset \sigma(T) = \{w \in \mathbb{C} : T - w \text{ is not invertible}\}$;
- (2) $\text{ran}(T - w) = \mathcal{H}$ for w in Ω ;
- (3) $\text{span}\{\ker(T - w) : w \in \Omega\}$ is dense in \mathcal{H} ; and
- (4) $\dim \ker(T - w) = n$ for w in Ω .

Example

$$H^2 = \{f \in \mathcal{O}(\mathbb{D}) : f(z) = \sum_{n=0}^{\infty} a_n z^n, \sum_{n=0}^{\infty} |a_n|^2 < \infty\}. M_z^* \in B_1(\mathbb{D}).$$

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$$L^2_{\alpha}(\mathbb{D}) = \{f \in \mathcal{O}(\mathbb{D}) : f(z) = \sum_{n=0}^{\infty} a_n z^n, \sum_{n=0}^{\infty} \frac{|a_n|^2}{n+1} < \infty\}. M_z^* \in B_1(\mathbb{D}).$$

- There is a Hermitian holomorphic vector bundle E_T corresponding to each $T \in B_n(\Omega)$, where

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Theorem (Cowen-Douglas)

Operators T and \tilde{T} in $B_n(\Omega)$ are unitarily equivalent if and only if the corresponding Hermitian holomorphic vector bundles E_T and $E_{\tilde{T}}$ are equivalent.

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- For $n = 1$, the curvature \mathcal{K}_T of the bundles E_T is given by the formula

$$\mathcal{K}_T(w) = \frac{\partial^2}{\partial w \partial \bar{w}} \log \|\gamma(w)\|^2 d\bar{w} \wedge dw$$

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- Operators T and \tilde{T} in $B_1(\Omega)$ are equivalent if and only if the curvatures $\mathcal{K}_T(w)$ and $\mathcal{K}_{\tilde{T}}(w)$ are equal.

Theorem (Jiang-Wang)

Given $T \in B_n(\Omega)$, there exist $B_1(\Omega)$ operators T_0, T_1, \dots, T_{n-1} such that

$$T = \begin{pmatrix} T_0 & & & * \\ & T_1 & & \\ & & \ddots & \\ 0 & & & T_{n-1} \end{pmatrix}$$

with respect to some decomposition of $\mathcal{H} = \bigoplus_{i=1}^n \mathcal{H}_i$ of Hilbert space \mathcal{H} .

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Definition

We let $\mathcal{F}B_2(\Omega)$ denote the set of operators of the form

$$T = \begin{pmatrix} T_0 & S \\ 0 & T_1 \end{pmatrix}$$

for some $T_0, T_1 \in B_1(\Omega)$ and non zero operator S such that $T_0S = ST_1$.

Definition

An operator T is called homogeneous if $\sigma(T) \subseteq \bar{\mathbb{D}}$ and $\phi(T) \cong T$ for all $\phi \in \text{m\"ob}$.

Example

If $T \in B_2(\mathbb{D})$, irreducible and homogeneous then $T \in \mathcal{F}B_2(\mathbb{D})$.

Theorem

Every operators in $\mathcal{F}B_2(\Omega)$ are irreducible.

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- To prove above Theorem, we need to introduce some notation:
 - $\sigma_T : \mathcal{L}(\mathcal{H}) \rightarrow \mathcal{L}(\mathcal{H})$, $\sigma_T(X) = TX - XT$, where $T \in \mathcal{L}(\mathcal{H})$.

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 - $\sigma_T : \mathcal{L}(\mathcal{H}) \rightarrow \mathcal{L}(\mathcal{H})$, $\sigma_T(X) = TX - XT$, where $T \in \mathcal{L}(\mathcal{H})$.
 - $T \in \mathcal{L}(\mathcal{H})$, is quasi-nilpotent if $\lim_{n \rightarrow \infty} \|T^n\|^{1/n} = 0$

Theorem (Kleinecke, Shirokov)

For $P, T \in \mathcal{L}(\mathcal{H})$, if $P \in \ker \sigma_T \cap \text{ran } \sigma_T$ then P is quasi-nilpotent.

Lemma

For $T, \tilde{T} \in B_1(\Omega)$ and $X \in \mathcal{L}(\mathcal{H})$ such that $XT = \tilde{T}X$, then X has dense range if and only if X is non zero.

Lemma

Let $T \in B_1(\Omega)$ and $X \in \mathcal{L}(\mathcal{H})$ is quasi-nilpotent such that $XT = TX$ then $X = 0$.

Proof.

- Let $P = \begin{pmatrix} P_{11} & P_{12} \\ P_{21} & P_{22} \end{pmatrix}$ be a projection such that $PT = TP$

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- P_{11} and P_{22} are projections such that $P_{11}T_0 = T_0P_{11}$ and $P_{22}T_1 = T_1P_{22}$

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- By previous lemmas, $P_{21}S = 0$
- S has dense range $\Rightarrow P_{21} = 0$
- P is self adjoint $\Rightarrow P_{12} = 0$
- P_{11} and P_{22} are projections such that $P_{11}T_0 = T_0P_{11}$ and $P_{22}T_1 = T_1P_{22}$
- $P_{11} = I$ or 0 and $P_{22} = I$ or 0
- $P_{11}S = SP_{22} \Rightarrow P_{11} = P_{22} = 0$ or $P_{11} = P_{22} = I$



Any intertwining unitary between two operators in $\mathcal{FB}_2(\Omega)$ is diagonal, in other words,

Theorem

Let $U = \begin{pmatrix} U_{11} & U_{12} \\ U_{21} & U_{22} \end{pmatrix}$ be a unitary operator such that

$$\begin{pmatrix} U_{11} & U_{12} \\ U_{21} & U_{22} \end{pmatrix} \begin{pmatrix} T_0 & S \\ 0 & T_1 \end{pmatrix} = \begin{pmatrix} \tilde{T}_0 & \tilde{S} \\ 0 & \tilde{T}_1 \end{pmatrix} \begin{pmatrix} U_{11} & U_{12} \\ U_{21} & U_{22} \end{pmatrix}$$

then $U_{12} = U_{21} = 0$.

Corollary

$$T = \begin{pmatrix} T_0 & S \\ 0 & T_1 \end{pmatrix}, \tilde{T} = \begin{pmatrix} T_0 & \tilde{S} \\ 0 & T_1 \end{pmatrix}; T_0, T_1 \in B_1(\Omega), T_0 S = S T_1 \text{ and } T_0 \tilde{S} = \tilde{S} T_1.$$

$$T \cong \tilde{T} \Leftrightarrow \tilde{S} = e^{i\theta} S, \text{ for some } \theta \in \mathbb{R}.$$

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Corollary

Let $T_i: \mathcal{H}_i \rightarrow \mathcal{H}_i, i = 0, 1$ be bounded linear operators and $T_1, T_0 \in \mathcal{B}_1(\Omega)$. Let S be a bounded linear operators such that $S T_1 = T_0 S$. Let μ be positive real number. Set, $T_\mu = \begin{pmatrix} T_0 & \mu S \\ 0 & T_1 \end{pmatrix}$. T_μ is unitarily equivalent to $T_{\tilde{\mu}}$ if and only if $\mu = \tilde{\mu}$.

Theorem

Let $T, \tilde{T} \in \mathcal{F}B_2(\Omega)$, $T = \begin{pmatrix} T_0 & S \\ 0 & T_1 \end{pmatrix}$, $\tilde{T} = \begin{pmatrix} \tilde{T}_0 & \tilde{S} \\ 0 & \tilde{T}_1 \end{pmatrix}$. Let t_1 and \tilde{t}_1 be non zero section of E_{T_1} and $E_{\tilde{T}_1}$ respectively.

$$T \cong \tilde{T} \Leftrightarrow \mathcal{H}_{T_0} = \mathcal{H}_{\tilde{T}_0}, \frac{\|S(t_1)(w)\|}{\|t_1(w)\|} = \frac{\|\tilde{S}(\tilde{t}_1)(w)\|}{\|\tilde{t}_1(w)\|}, \text{ for all } w \in \Omega.$$

Equivalently,

$$T \cong \tilde{T} \Leftrightarrow \mathcal{H}_{T_0} = \mathcal{H}_{\tilde{T}_0}, \text{ and } \theta_{12} = \tilde{\theta}_{12},$$

where θ_{12} is second fundamental form of E_{T_0} in E_T .

Proof.

\Rightarrow

- $\begin{pmatrix} U_1 & 0 \\ 0 & U_2 \end{pmatrix} \begin{pmatrix} T_0 & S \\ 0 & T_1 \end{pmatrix} = \begin{pmatrix} \tilde{T}_0 & \tilde{S} \\ 0 & \tilde{T}_1 \end{pmatrix} \begin{pmatrix} U_1 & 0 \\ 0 & U_2 \end{pmatrix}$
- $U_1(S(t_1)) = \phi_1(\tilde{S}(\tilde{t}_1))$ and $U_2 t_1 = \phi_2 \tilde{t}_1$
- $U_1 S = \tilde{S} U_2 \Rightarrow \phi_1 = \phi_2$
- $\mathcal{H}_{T_0} = \mathcal{H}_{\tilde{T}_0}$ and $\frac{\|S(t_1)\|^2}{\|t_1\|^2} = \frac{\|\tilde{S}(\tilde{t}_1)\|^2}{\|\tilde{t}_1\|^2}$

Proof.

⇒

- $\begin{pmatrix} U_1 & 0 \\ 0 & U_2 \end{pmatrix} \begin{pmatrix} T_0 & S \\ 0 & T_1 \end{pmatrix} = \begin{pmatrix} \tilde{T}_0 & \tilde{S} \\ 0 & \tilde{T}_1 \end{pmatrix} \begin{pmatrix} U_1 & 0 \\ 0 & U_2 \end{pmatrix}$
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- $\mathcal{K}_{T_0} = \mathcal{K}_{\tilde{T}_0}$ and $\frac{\|S(t_1)\|^2}{\|t_1\|^2} = \frac{\|\tilde{S}(\tilde{t}_1)\|^2}{\|\tilde{t}_1\|^2}$

⇐

- Set, $\gamma_0(w) := S(t_1(w))$, $\tilde{\gamma}_0(w) := \tilde{S}(\tilde{t}_1(w))$,
 $\gamma_1(w) := \frac{\partial}{\partial w} \gamma_0(w) - t_1(w)$ and $\tilde{\gamma}_1(w) := \frac{\partial}{\partial w} \tilde{\gamma}_0(w) - \tilde{t}_1(w)$
- $\mathcal{K}_{T_0} = \mathcal{K}_{\tilde{T}_0} \Rightarrow \|\gamma_0\|^2 = |\phi|^2 \|\tilde{\gamma}_0\|^2$
- $\frac{\|\gamma_0\|^2}{\|t_1\|^2} = \frac{\|\tilde{\gamma}_0\|^2}{\|\tilde{t}_1\|^2} \Rightarrow \|t_1\|^2 = |\phi|^2 \|\tilde{t}_1\|^2$
- $\Psi : E_T \rightarrow E_{\tilde{T}}$, $\Psi(\gamma_0) = \phi \tilde{\gamma}_0$ and $\Psi(\gamma_1) = \phi' \tilde{\gamma}_0 + \phi \tilde{\gamma}_1$
- $\langle \Psi(\gamma_i), \Psi(\gamma_j) \rangle = \langle \gamma_i, \gamma_j \rangle$, for $0 \leq i, j \leq 1$.

□

Theorem

$$T \in \mathcal{FB}_2(\mathbb{D}), T = \begin{pmatrix} T_0 & S \\ 0 & T_1 \end{pmatrix}.$$

T is a homogeneous operator \Leftrightarrow

- (1) T_0 and T_1 are homogeneous;
- (2) $\mathcal{H}_{T_0} = \mathcal{H}_{T_1} + \mathcal{H}_{B^*}$, B is Bergman shift;
- (3) $S(t_1(w)) = \alpha \gamma_0(w)$ where $\|t_1(w)\|^2 = \frac{1}{(1-|w|^2)^\mu}$ and
 $\|\gamma_0(w)\|^2 = \frac{1}{(1-|w|^2)^\lambda}$, $\lambda, \mu \in \mathbb{R}_+$.

Definition

We let $\mathcal{F}B_n(\Omega)$ be the set of all operators T defined on complex separable Hilbert space $\mathcal{H} = \mathcal{H}_0 \oplus \cdots \oplus \mathcal{H}_{n-1}$ which is of the form

$$T = \begin{pmatrix} T_0 & S_{0,1} & S_{0,2} & \cdots & S_{0,n-1} \\ 0 & T_1 & S_{1,2} & \cdots & S_{1,n-1} \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & \cdots & 0 & T_{n-2} & S_{n-2,n-1} \\ 0 & \cdots & \cdots & 0 & T_{n-1} \end{pmatrix}$$

where $T_i : \mathcal{H}_i \rightarrow \mathcal{H}_i$, defined on the complex separable Hilbert space \mathcal{H}_i , $0 \leq i \leq n-1$, is assumed to be in $B_1(\Omega)$ and $S_{i,i+1} : \mathcal{H}_{i+1} \rightarrow \mathcal{H}_i$, is assumed to be a non-zero intertwining operator, namely, $T_i S_{i,i+1} = S_{i,i+1} T_{i+1}$, $0 \leq i \leq n-2$.

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$T, \tilde{T} \in \mathcal{F}B_n(\Omega)$.

$T \cong \tilde{T} \Leftrightarrow$ there exist unitary operators $U_i : \mathcal{H}_i \rightarrow \tilde{\mathcal{H}}$, $i = 0, 1, \dots, n-1$ such that $U_i \tilde{T}_i U_i^* = T_i$ and $U_i S_{i,j} = \tilde{S}_{i,j} U_j$, for any $i < j$.

Theorem

Suppose T is an operator in $\mathcal{F} B_n(\Omega)$ and that t_{n-1} is a non-vanishing section of $E_{T_{n-1}}$. Then

- the curvature \mathcal{K}_{T_0} ,
- $\frac{\|t_{i-1}\|}{\|t_i\|}$, where $t_{i-1} = S_{i-1,i}(t_i)$, $1 \leq i \leq n-1$;
- $\frac{\langle S_{k,j}(t_j), t_k \rangle}{\|t_k\|^2}$, $0 \leq k < l \leq n-2$ with $i-j \geq 2$.

are a complete set of unitary invariants for the operator T .

Corollary

$$T = \begin{pmatrix} T_0 & S_{0,1} & 0 & \cdots & 0 \\ 0 & T_1 & S_{1,2} & \ddots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & 0 & T_{n-2} & S_{n-2,n-1} \\ 0 & 0 & 0 & 0 & T_{n-1} \end{pmatrix}_{n \times n},$$

$$\tilde{T} = \begin{pmatrix} T_0 & \tilde{S}_{0,1} & 0 & \cdots & 0 \\ 0 & T_1 & \tilde{S}_{1,2} & \ddots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & 0 & T_{n-2} & \tilde{S}_{n-2,n-1} \\ 0 & 0 & 0 & 0 & T_{n-1} \end{pmatrix}_{n \times n};$$

$$T \cong \tilde{T} \Leftrightarrow \tilde{S}_{j,j+1} = e^{i\theta_j} S_{j,j+1}, \theta_j \in \mathbb{R}, 0 \leq j \leq n-2.$$

Corollary

$$T_{\mu} = \begin{pmatrix} T_0 & \mu_0 S_{0,1} & 0 & \cdots & 0 \\ 0 & T_1 & \mu_1 S_{1,2} & \ddots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & 0 & T_{n-2} & \mu_{n-2} S_{n-2,n-1} \\ 0 & 0 & 0 & 0 & T_{n-1} \end{pmatrix},$$

where $\mu_i > 0, 0 \leq i \leq n-2$, $\mu = (\mu_0, \dots, \mu_{n-2})$. T_{μ} is unitarily equivalent to $T_{\tilde{\mu}}$ if and only if $\mu = \tilde{\mu}$.

Thank You and Happy
Birthday to Prof. Baruch
Solel