

# Semigroup actions on operator algebras

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Kakariadis ETA, *On Nica-Pimsner algebras of  $C^*$ -dynamical systems over  $\mathbb{Z}_+^n$ .*, to appear in *International Mathematics Research Notices*.

# I. Framework

In this talk we focus on encoding:

$$\{ \text{C}^*\text{-dynamical systems} \} \iff \{ \text{Operator algebras} \}$$

- Origins: Murray, von Neumann (1936, 1940) – Type I, II, and III factors.
- *C\*-crossed products*: are constructed based on a given group action  $\alpha: G \rightarrow \text{Aut}(A)$  on a C\*-algebra  $A$  by \*-automorphisms.
- We turn our focus to semigroup actions  $\alpha: P \rightarrow \text{End}(A)$  on a C\*-algebra  $A$  by *\*-endomorphisms*.
- Case example:  $P = \mathbb{Z}_+$ .

# I. Framework

## Definition

A  $C^*$ -dynamical system  $\alpha: \mathbb{Z}_+ \rightarrow \text{End}(A)$  consists of a  $*$ -endomorphism  $\alpha: A \rightarrow A$  of a  $C^*$ -algebra  $A$ .

- Use operators to encode the evolution of the system (in discrete time):

$$\begin{array}{cccc} a & \alpha(a) & \alpha^2(a) & \dots \\ | & | & | & \\ t=0 & t=1 & t=2 & \end{array}$$

- The key is to introduce an “external” operator  $V$  that satisfies the *covariance relation*

$$a \cdot V = V \cdot \alpha(a) \text{ for all } a \in A.$$

- Sometimes we would like to impose that  $V$  is an isometry (so that positive points in time are reversed).

# I. Framework

## Example of a unitary pair

For  $A \subseteq \mathcal{B}(H)$  and  $\alpha \in \text{Aut}(A)$  we have a pair  $(\pi, U)$  on  $\ell^2(H)$ :

$U$ : bilateral shift  $\pi(a) = \text{diag}\{\alpha^n(a) : n \in \mathbb{Z}\}$  s.t.  $\pi(a)U = U\pi\alpha(a)$ .

Notice that  $U$  also “undoes”  $\alpha$ :  $\pi(a) = U\pi\alpha(a)U^*$ .

## Gauge Invariant Uniqueness Theorem

Every unitary pair  $(\pi', U')$  that admits a gauge action with  $\pi'$  injective lifts to a faithful representation of  $C^*(\pi(A), U)$ .

- In other words  $C^*(\pi(A), U) \simeq A \rtimes \mathbb{Z}$ .

## Question

What is the analogue for  $\alpha \in \text{End}(A)$ ?

## II. Operator algebras over $\alpha: \mathbb{Z}_+ \rightarrow \text{End}(A)$

### Cuntz-Pimsner $\mathcal{O}_{(A,\alpha)}$ (Katsura)

Universal  $C^*$ -algebra generated by  $A$  and  $V$  such that  $a \cdot V = V \cdot \alpha(a)$ ,  $V$  is an isometry ( $V^*V = I$ ), and

$$a \cdot (I - VV^*) = 0, \quad \text{for } a \in \ker \alpha^\perp := \{a \in A \mid a \cdot \ker \alpha = (0)\}.$$

### Remarks

1. If  $\alpha \in \text{Aut}(A)$  then  $\ker \alpha^\perp = A$ . Thus  $V$  is unitary and  $\mathcal{O}_{(A,\alpha)} \simeq A \rtimes_\alpha \mathbb{Z}$ .
2. When  $\alpha$  is injective then  $\mathcal{O}(A, \alpha) \simeq A_\infty \rtimes_{\alpha_\infty} \mathbb{Z}$  for

$$\begin{array}{ccccc} A & \xrightarrow{\alpha} & A & \longrightarrow & A_\infty \\ \downarrow \alpha & & \downarrow \alpha & & \downarrow \alpha_\infty \\ A & \xrightarrow{\alpha} & A & \longrightarrow & A_\infty \end{array}$$

(Minimal automorphic extension)

## II. Operator algebras over $\alpha: \mathbb{Z}_+ \rightarrow \text{End}(A)$

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### Remarks (Katsura)

3.  $\ker \alpha^\perp$  is the largest ideal where the restriction of  $\alpha$  is injective.
4. Notice that  $a = V\alpha(a)V^*$  for all  $a \in \ker \alpha^\perp$ .
5.  $A \hookrightarrow \mathcal{O}(A, \alpha)$  (Katsura 2004). In fact  $\mathcal{O}(A, \alpha)$  is the smallest for which  $aV = V\alpha(a)$ ,  $V$  is an isometry and  $A \hookrightarrow \mathcal{O}(A, \alpha)$ .
6.  $\mathcal{O}(A, \alpha)$  satisfies the GIUT.

## II. Operator algebras over $\alpha: \mathbb{Z}_+ \rightarrow \text{End}(A)$

### *Cuntz-Pimsner* $\mathcal{O}_{(A,\alpha)}$ (Katsura)

Universal  $C^*$ -algebra generated by  $A$  and  $V$  such that  $a \cdot V = V \cdot \alpha(a)$ ,  $V$  is an isometry ( $V^*V = I$ ), and

$$a \cdot (I - VV^*) = 0, \quad \text{for } a \in \ker \alpha^\perp := \{a \in A \mid a \cdot \ker \alpha = (0)\}.$$

### Remarks (Katsura)

7.  $A$  is exact if and only if  $\mathcal{O}(A, \alpha)$  is exact.
8. If  $A$  is nuclear then  $\mathcal{O}(A, \alpha)$  is nuclear (but not the converse).
9. If  $\mathcal{O}(A, \alpha)$  is nuclear then  $A/\ker \alpha^\perp$  is nuclear and  $\ker \alpha^\perp \hookrightarrow \mathcal{O}(A, \alpha)^\beta$  is nuclear.

## II. Operator algebras over $\alpha: \mathbb{Z}_+ \rightarrow \text{End}(A)$

### *Cuntz-Pimsner* $\mathcal{O}_{(A,\alpha)}$ (Katsura)

Universal  $C^*$ -algebra generated by  $A$  and  $V$  such that  $a \cdot V = V \cdot \alpha(a)$ ,  $V$  is an isometry ( $V^*V = I$ ), and

$$a \cdot (I - VV^*) = 0, \quad \text{for } a \in \ker \alpha^\perp := \{a \in A \mid a \cdot \ker \alpha = (0)\}.$$

### Remarks (Katsoulis-Kribs)

10.  $\mathcal{O}(A, \alpha)$  is the  $C^*$ -envelope in the sense of Arveson for a natural nonselfadjoint operator algebra. Let's pause for a second and put this result into some context.



# Intermission: on the $C^*$ -envelope

Operator algebras are subalgebras of  $\mathcal{B}(H)$

1. Selfadjoint norm-closed subalgebras, i.e.  $C^*$ -algebras.
2. Non-involutive, i.e. *nonselfadjoint operator algebras (nsa)*.

By definition every nsa  $\mathcal{A} \subseteq \mathcal{B}(H)$  generates a  $C^*$ -algebra  $C^*(\mathcal{A})$

It may happen that  $\iota_1: \mathcal{A} \rightarrow \mathcal{B}(H_1)$  and  $\iota_2: \mathcal{A} \rightarrow \mathcal{B}(H_2)$  but

$$C^*(\iota_1(\mathcal{A})) \not\cong C^*(\iota_2(\mathcal{A})).$$

## Example

The disc algebra  $\mathbb{A}(\mathbb{D})$  generates the Toeplitz algebra,  $C(\overline{\mathbb{D}})$ , and  $C(\mathbb{T})$ . However  $C(\mathbb{T})$  is the *minimal  $C^*$ -algebra generated by  $\mathbb{A}(\mathbb{D})$* , and we call  $C(\mathbb{T})$  *the  $C^*$ -envelope of  $\mathbb{A}(\mathbb{D})$* .

# Intermission: on the $C^*$ -envelope

## Question, Arveson (1969)

Does every nsa have a  $C^*$ -envelope?

Answer: Yes

$\exists \iota: \mathcal{A} \rightarrow \mathcal{B}(H)$  s.t. for any other  $\iota': \mathcal{A} \rightarrow \mathcal{B}(K)$ ,  $\exists$  a  $*$ -epimorphism  $\Phi: C^*(\iota'(\mathcal{A})) \rightarrow C^*(\iota(\mathcal{A}))$  with  $\Phi \iota'(a) = \iota(a)$ ,  $\forall a \in \mathcal{A}$ .

The  $C^*(\iota(\mathcal{A}))$  is the  $C^*$ -envelope of  $\mathcal{A}$ . We write  $C_{\text{env}}^*(\mathcal{A}) = C^*(\iota(\mathcal{A}))$ .

Proofs by:

1. Hamana (1979):  $C_{\text{env}}^*(\mathcal{A})$  is generated in *the injective envelope*.
2. Ditschel-McCullough (2001):  $C_{\text{env}}^*(\mathcal{A})$  is generated by a *maximal dilation*.

## Arveson's Program on the $C^*$ -envelope

Determine<sup>1</sup> and examine<sup>2</sup> the  $C^*$ -envelope of a given nsa.

# Intermission: on the $C^*$ -envelope

## Dilations

Let  $T \in \mathcal{B}(H)$ . A *power dilation*  $U \in \mathcal{B}(K)$  of  $T$  is of the form

$$U = \begin{bmatrix} * & 0 & 0 \\ * & T & 0 \\ * & * & * \end{bmatrix}.$$

A dilation is *maximal* if it has only trivial dilations.

## Example

If  $T$  is a contraction ( $\|T\| \leq 1$ ), then the maximal dilation is achieved by a unitary  $U$  ( $U^*U = UU^* = I$ ).

## Dilations

The idea is that by dilating we obtain “better-behaved” objects.

## II. Operator algebras over $\alpha: \mathbb{Z}_+ \rightarrow \text{End}(A)$

Going back to  $\alpha \in \text{End}(A)$ .

### *Semicrossed product $A \times_\alpha \mathbb{Z}_+$*

Universal **nonselfadjoint** operator algebra generated by  $A$  and  $V$  such that  $a \cdot V = V \cdot \alpha(a)$  and  $V$  is a **contraction**.

### Remark

Initiated by Arveson (1967), formally defined by Peters (1984).

### Theorem (Muhly-Solel 2006)

*The scp  $A \times_\alpha \mathbb{Z}_+$  coincides with the **nsa** generated by  $A$  and  $V$  such that  $a \cdot V = V \cdot \alpha(a)$  and  $V$  is an **isometry**.*

### Theorem (Katsoulis-Kribs 2005)

*The  $C^*$ -envelope of  $A \times_\alpha \mathbb{Z}_+$  is  $\mathcal{O}_{(A,\alpha)}$ .*

## II. Operator algebras over $\alpha: \mathbb{Z}_+ \rightarrow \text{End}(A)$

### *Cuntz-Pimsner* $\mathcal{O}_{(A,\alpha)}$ (Katsura)

Universal  $C^*$ -algebra generated by  $A$  and  $V$  such that  $a \cdot V = V \cdot \alpha(a)$ ,  $V$  is an isometry ( $V^*V = I$ ), and

$$a \cdot (I - VV^*) = 0, \quad \text{for } a \in \ker \alpha^\perp := \{a \in A \mid a \cdot \ker \alpha = (0)\}.$$

### Question

Why such complexity?

### Remark

1. Let a faithful  $\rho: A \rightarrow \mathcal{B}(H)$  and an isometry  $V$  s.t.  $\rho(a)V = V\rho\alpha(a)$ .
2. If  $\rho(a_0) + \sum_{n>0} V_n \rho(a_s) V_n^* = 0$  then  $a_0 \in \ker \alpha^\perp$  (Katsura 2004).
3. This happens because such equations transform in  $\rho(a_0)(I - VV^*) = 0$ .

## II. Operator algebras over $\alpha: \mathbb{Z}_+ \rightarrow \text{End}(A)$

### Question

Can we connect  $\mathcal{O}(A, \alpha)$  to a  $C^*$ -crossed product in any case?

### Two-step dilation (K. 2011)

1. Add a tail: From  $(A, \alpha)$  construct an injective  $(B, \beta)$  given by

$$\begin{array}{ccccccc} \alpha & & & & & & \\ \curvearrowright & & & & & & \\ & A & \xrightarrow{q} & A/\ker \alpha^\perp & \xrightarrow{\text{id}} & A/\ker \alpha^\perp & \xrightarrow{\text{id}} \dots \end{array}$$

2. Extend by a direct limit: For  $(B, \beta)$  form the minimal automorphic extension  $(B_\infty, \beta_\infty)$ .

## II. Operator algebras over $\alpha: \mathbb{Z}_+ \rightarrow \text{End}(A)$

### Theorem (K. 2011)

$$\begin{array}{ccc} \alpha: \mathbb{Z}_+ \rightarrow \text{End}(A) & \longrightarrow & \mathcal{O}_{(A,\alpha)} \\ \downarrow \text{dilation} & & \downarrow \text{strong} \\ \beta_\infty: \mathbb{Z} \rightarrow \text{Aut}(B_\infty) & \longrightarrow & \mathcal{O}_{(B_\infty,\beta_\infty)} \simeq B_\infty \rtimes_{\beta_\infty} \mathbb{Z} \end{array} \left. \vphantom{\begin{array}{ccc} \alpha: \mathbb{Z}_+ \rightarrow \text{End}(A) & \longrightarrow & \mathcal{O}_{(A,\alpha)} \\ \downarrow \text{dilation} & & \downarrow \text{strong} \\ \beta_\infty: \mathbb{Z} \rightarrow \text{Aut}(B_\infty) & \longrightarrow & \mathcal{O}_{(B_\infty,\beta_\infty)} \simeq B_\infty \rtimes_{\beta_\infty} \mathbb{Z} \end{array}} \right\} \text{Morita equivalent}$$

### Corollary (K. 2011)

Let  $A = C(X)$ . TFAE:

1.  $(A, \alpha)$  is minimal and  $\alpha^n \neq \alpha^m$  for all  $n, m \in \mathbb{Z}_+$ ;
2.  $(B_\infty, \beta_\infty)$  is minimal and  $\beta_\infty^n \neq \text{id}$  for all  $n \in \mathbb{Z}$  (topol. free);
3.  $B_\infty \rtimes_{\beta_\infty} \mathbb{Z}$  is simple;
4.  $\mathcal{O}_{(A,\alpha)}$  is simple (has no non-trivial two-sided closed ideals).

### III. Program on semigroup actions

#### Question 1

$$\begin{array}{ccc} \alpha: P \rightarrow \text{End}(A) & \longrightarrow & \text{C}^*\text{-envelope of a scp} \\ \downarrow \text{dilation} & & \uparrow \text{strong} \\ \beta: G \rightarrow \text{Aut}(B) & \longrightarrow & \text{C}^*\text{-crossed product} \end{array} \left. \vphantom{\begin{array}{ccc} \alpha: P \rightarrow \text{End}(A) & \longrightarrow & \text{C}^*\text{-envelope of a scp} \\ \downarrow \text{dilation} & & \uparrow \text{strong} \\ \beta: G \rightarrow \text{Aut}(B) & \longrightarrow & \text{C}^*\text{-crossed product} \end{array}} \right\} \text{Morita equivalent ?}$$

#### Question 2

Is the C\*-envelope a Cuntz-type C\*-algebra? Can we describe it by \*-algebraic relations?

#### Applications 3

Relate the intrinsic properties of  $\alpha: P \rightarrow \text{End}(A)$  to C\*-properties of the obtained object.



### III. Program on semigroup actions

#### Davidson-Fuller-K. (2014)

$$\begin{array}{ccc} \alpha: P \rightarrow \text{End}(A) & \longrightarrow & \text{C}^*\text{-envelope of a sem. prod.} \\ \downarrow \text{dilation} & & \text{strong} \left. \vphantom{\begin{array}{c} \text{strong} \\ \text{Morita equivalent} \end{array}} \right\} \text{Morita equivalent} \\ \beta: G \rightarrow \text{Aut}(B) & \longrightarrow & \text{C}^*\text{-crossed product} \end{array}$$

1. We confirm this when  $P$  is  $\mathbb{Z}_+^n$ ,  $\mathbb{F}_n^+$ , a spanning cone, an Ore sgrp.
2. For  $P = \mathbb{Z}_+^n$  we identify the Cuntz-Nica-Pimsner algebra.
3. We study the Cuntz-Nica-Pimsner algebras in terms of ideal structure.

#### K. (2014)

4. We study the Nica-Pimsner algebras in terms of nuclearity, exactness, KMS states.

### III. Operator algebras over $\alpha: \mathbb{Z}_+^n \rightarrow \text{End}(A)$

#### Notation

We write  $\mathbf{i} = (0, \dots, 0, 1, 0, \dots, 0)$  for all  $i = 1, \dots, n$ .

Thus  $\alpha: \mathbb{Z}_+^n \rightarrow \text{End}(A)$  is defined by  $n$  commuting  $\alpha_{\mathbf{i}} \in \text{End}(A)$ .

#### Requirements

1.  $n$  contractions  $V_{\mathbf{i}}$  such that  $a \cdot V_{\mathbf{i}} = V_{\mathbf{i}} \cdot \alpha_{\mathbf{i}}(a)$ .
2. The  $V_{\mathbf{i}}$  commute.

#### Is this enough?

The aim is to reach a crossed product. For  $A = \mathbb{C}$  we would like to dilate the  $V_{\mathbf{i}}$  to unitaries. Parrott's counterexample shows that this cannot be done for any  $n$ .

3. We focus on doubly commuting  $V_{\mathbf{i}}$ , i.e.  $V_{\mathbf{i}}V_{\mathbf{j}}^* = V_{\mathbf{j}}^*V_{\mathbf{i}}$  for  $i \neq j$ .

### III. Operator algebras over $\alpha: \mathbb{Z}_+^n \rightarrow \text{End}(A)$

*The Nica-covariant semicrossed product  $A \times_{\alpha}^{\text{nc}} \mathbb{Z}_+^n$  (no involution)*

Universal **nonselfadjoint** generated by

$$V_s a, \text{ with } a \in A, s \in \mathbb{Z}_+^n,$$

for  $n$  doubly commuting **contractions**  $V_i$  with  $a \cdot V_i = V_i \cdot \alpha_i(a)$ .

#### Remark

$A$  embeds in  $A \times_{\alpha}^{\text{nc}} \mathbb{Z}_+^n$ .

#### Example

For  $A \subseteq H$  let  $K = H \otimes \ell^2(\mathbb{Z}_+^n)$  and define

$$S_i(\xi \otimes e_s) = \xi \otimes e_{i+s} \text{ and } \pi(a)(\xi \otimes e_s) = \alpha_s(a)\xi \otimes e_s$$

for all  $s \in \mathbb{Z}_+^n$  and  $\xi \in H$ . Then  $\pi$  is a faithful representation of  $A$ .

### III. Operator algebras over $\alpha: \mathbb{Z}_+^n \rightarrow \text{End}(A)$

#### Question

Why do we call it *Nica* covariant?

#### Theorem (Davidson-Fuller-K. 2014)

The *Nc-scp*  $A \times_{\alpha}^{\text{nc}} \mathbb{Z}_+^n$  coincides with the **nsa** generated by doubly commuting **isometries**  $V_i$  and  $A$  such that  $a \cdot V_i = V_i \cdot \alpha_i(a)$ .

#### Remark

Doubly commuting isometries form a representation of  $\mathbb{Z}_+^n$  in the sense of Nica.

#### Corollary

If  $C_{\text{env}}^*(A \times_{\alpha}^{\text{nc}} \mathbb{Z}_+^n)$  is generated by  $A$  and  $V$  then

$$C_{\text{env}}^*(A \times_{\alpha}^{\text{nc}} \mathbb{Z}_+^n) \simeq \overline{\text{span}}\{V_s a V_t^* : a \in \mathcal{A} \text{ and } s, t \in \mathbb{Z}_+^n\}.$$

### III. Reductions

#### The plan

Dilate a system  $\alpha: \mathbb{Z}_+^n \rightarrow \text{End}(A)$  to a group action  $\beta: \mathbb{Z}^n \rightarrow \text{Aut}(B)$ .

Injective case:  $\ker \alpha_i = (0)$  for all  $i = 1, \dots, n$ .

We can then construct the direct limit  $\beta_i \in \text{Aut}(B)$  s.t.

$$\begin{array}{ccccc} A_s & \xrightarrow{\alpha_t} & A_{s+t} & \longrightarrow & B \\ \downarrow \alpha_i & & \downarrow \alpha_i & & \downarrow \beta_i \\ A_s & \xrightarrow{\alpha_t} & A_{s+t} & \longrightarrow & B \end{array}$$

where  $A_s = A$  for all  $s \in \mathbb{Z}_+^2$ .

Then  $C_{\text{env}}^*(A \times_{\alpha}^{\text{nc}} \mathbb{Z}_+^n) \simeq B \rtimes_{\beta} \mathbb{Z}^n$  (Corollary Davidson-Fuller-K. 2014).

### III. Reductions

#### The (revised) plan

Dilate a system  $\alpha: \mathbb{Z}_+^n \rightarrow \text{End}(A)$  where  $\ker \alpha_i \neq (0)$  to a system  $\beta: \mathbb{Z}_+^n \rightarrow \text{End}(B)$  such that  $\ker \beta_i = (0)$ .

#### The $n = 1$ case (K. 2011)

For  $I = \ker \alpha^\perp$  let  $B = A \oplus c_0(A/I)$  and  $\beta(a, (x_n)) = (\alpha(a), a + I, (x_n))$ .

$$\begin{array}{ccccccc} & \alpha & & & & & \\ & \curvearrowright & & & & & \\ & A & \xrightarrow{q_I} & A/I & \xrightarrow{\text{id}} & A/I & \xrightarrow{\text{id}} \dots \end{array}$$

#### The $n = 2$ case

Let  $\alpha_1, \alpha_2 \in \text{End}(A)$  such that  $\alpha_1 \alpha_2 = \alpha_2 \alpha_1$ . We want two injective commuting  $\beta_1, \beta_2$  on some  $B \supseteq A$  that dilate  $\alpha_1, \alpha_2$ .

### III. Non-injective case

#### A first attempt

Let  $I_{(1,1)} := (\ker \alpha_1 \cdot \ker \alpha_2)^\perp$ ,  $I_1 := \bigcap_n \alpha_2^{-n}(I_{(1,1)})$ ,  $I_2 := \bigcap_n \alpha_1^{-n}(I_{(1,1)})$ .

Let  $\beta_1$  be the solid arrows and  $\beta_2$  the broken arrows:

$$\begin{array}{ccccc}
 & \uparrow & & \uparrow & \\
 \dot{\alpha}_1 \curvearrowright & A/I_2 & \xrightarrow{\dot{q}_1} & A/I_{(1,1)} & \xrightarrow{\text{id}} \dots \\
 & \uparrow \text{id} & & \uparrow \text{id} & \\
 \alpha_1 \curvearrowright & A & \xrightarrow{q_1} & A/I_1 & \xrightarrow{\text{id}} \dots \\
 & \uparrow q_2 & & \uparrow \dot{q}_2 & \\
 & \alpha_2 \curvearrowright & & \alpha_2 \curvearrowright & 
 \end{array}$$

with  $\dot{\alpha}_1 q_2 = q_1 \alpha_2$  and  $\dot{q}_1 q_1 = q_{(1,1)}$  (plus the symmetrical ones).

Then  $\beta$  is injective and generalises the  $n = 1$  case.

However this construction is bound to fail!

### III. Non-injective case

How did we end up with  $I_{(1,1)} = (\ker \alpha_1 \cdot \ker \alpha_2)^\perp$ ?

1. Let a faithful  $\rho: A \rightarrow \mathcal{B}(H)$  and doubly commuting isometries  $V_i$  such that

$$\rho(a)V_i = V_i\rho\alpha_i(a).$$

2. Because of a gauge action, we will have to deal with equations

$$\rho(a_0) + \sum_{s>0} V_s\rho(a_s)V_s^* = 0.$$

3. This transforms into

$$\rho(a_0)(I - V_1V_1^*)(I - V_2V_2^*) = 0.$$

4. From this we get that  $a_0 \perp \ker \alpha_1, \ker \alpha_2$ .



### III. Non-injective case

Why isn't  $I_{(1,1)} = (\ker \alpha_1 \cdot \ker \alpha_2)^\perp$  enough?

However we will also have equations of the form

$$\rho(a_0) + \sum_{n>0} V_{(n,0)} \rho(a_n) V_{(n,0)}^* = 0$$

which transform into

$$\rho(a_0)(I - V_1 V_1^*) = 0.$$

From this we get that  $a_0 \perp \ker \alpha_1$ .

From this we also get that  $\alpha_{(0,n)}(a_0) \perp \ker \alpha_1$  for all  $n > 0$ .

This happens because  $\rho \alpha_2(a) = V_2^* \rho(a) V_2$ .

So we need the ideal  $I_1 = \bigcap_n \alpha_2^{-n}(\ker \alpha_1^\perp)$  instead of  $\bigcap_n \alpha_2^{-n}(I)$ .

And of course its symmetrical  $I_2$ .

### III. Non-injective case

#### Correct tail

$$I_{(1,1)} = (\ker \alpha_1 \cdot \ker \alpha_2)^\perp \quad I_1 = \bigcap_n \alpha_2^{-n}(\ker \alpha_1^\perp) \quad I_2 = \bigcap_n \alpha_1^{-n}(\ker \alpha_2^\perp).$$

Then define  $\beta_1$  and  $\beta_2$  by

$$\begin{array}{ccccc}
 & \uparrow & & \uparrow & \\
 & \text{id} & & \text{id} & \\
 \dot{\alpha}_1 \curvearrowright & A/I_2 & \xrightarrow{\dot{q}_1} & A/I_{(1,1)} & \xrightarrow{\text{id}} \dots \\
 & \uparrow & & \uparrow & \\
 \alpha_1 \curvearrowright & A & \xrightarrow{q_1} & A/I_1 & \xrightarrow{\text{id}} \dots \\
 & \uparrow & & \uparrow & \\
 & \alpha_2 & & \dot{\alpha}_2 & 
 \end{array}$$

with  $\dot{\alpha}_1 q_2 = q_1 \alpha_2$  and  $\dot{q}_2 q_1 = q_{(1,1)}$  (plus the symmetrical ones).

Then  $\beta_1$  and  $\beta_2$  generalise the  $n = 1$  case.

It is not immediate but they are commuting and injective.

### III. General construction

For  $\underline{x} = (x_1, \dots, x_n) \in \mathbb{Z}_+^n$ , define

$$\text{supp}(\underline{x}) = \{\mathbf{i} : x_i \neq 0\} \text{ and } \underline{x}^\perp = \{\underline{y} \in \mathbb{Z}_+^n : \text{supp}(\underline{y}) \cap \text{supp}(\underline{x}) = \emptyset\}$$

and let the ideals

$$I_{\underline{x}} = \bigcap_{\underline{y} \in \underline{x}^\perp} \alpha_{\underline{y}}^{-1} \left( \left( \bigcap_{\mathbf{i} \in \text{supp}(\underline{x})} \ker \alpha_{\mathbf{i}} \right)^\perp \right).$$

Let  $B_{\underline{x}} = A/I_{\underline{x}}$  and on the C\*-algebra

$$B = \sum_{\underline{x} \in \mathbb{Z}_+^n}^\oplus B_{\underline{x}}$$

define the \*-endomorphisms

$$\beta_{\mathbf{i}}(q_{\underline{x}}(a) \otimes e_{\underline{x}}) = \begin{cases} q_{\underline{x}} \alpha_{\mathbf{i}}(a) \otimes e_{\underline{x}} + q_{\underline{x}+\mathbf{i}}(a) \otimes e_{\underline{x}+\mathbf{i}} & \text{for } \mathbf{i} \in \underline{x}^\perp, \\ q_{\underline{x}}(a) \otimes e_{\underline{x}+\mathbf{i}} & \text{for } \mathbf{i} \in \text{supp}(\underline{x}). \end{cases}$$

Then the  $\beta_{\mathbf{i}}$  commute and are injective (this is not trivial).

### III. C\*-envelope

#### Theorem (Davidson-Fuller-K. 2014)

Let  $\alpha: \mathbb{Z}_+^n \rightarrow \text{End}(A)$  be a semigroup action. Apply the constructions:

1. dilate  $(A, \alpha)$  to the injective  $(B, \beta)$  by adding a tail;
2. use the direct limit to extend it to  $\beta_\infty: \mathbb{Z}^n \rightarrow \text{Aut}(B_\infty)$ .

Then the C\*-envelope of  $A \times_\alpha^{\text{nc}} \mathbb{Z}_+^n$  is Morita equivalent to  $B_\infty \rtimes_{\beta_\infty} \mathbb{Z}^n$ .

#### Remarks

1. The C\*-envelope is defined by a co-universal property for which

$$C_{\text{env}}^*(A \times_\alpha^{\text{nc}} \mathbb{Z}_+^n) \simeq \overline{\text{span}}\{V_s a V_t^* : a \in \mathcal{A} \text{ and } s, t \in \mathbb{Z}_+^n\}.$$

2. This was one of the challenging points in the proof.

#### What about the structure of the C\*-envelope?

Can we identify the C\*-envelope by C\*-algebraic relations?

### III. Towards a Cuntz algebra

#### Recall

For  $n = 2$  we arrived to the equalities

1.  $a(I - V_1 V_1^*) = 0$ ;
2.  $a(I - V_2 V_2^*) = 0$ ;
3.  $a(I - V_1 V_1^*)(I - V_2 V_2^*) = 0$ ;

subject to  $a$ . Then we used the solutions/ideals to produce the tail. This appears to be more than an innocent coincidence.

#### The Cuntz-Nica-Pimsner algebra for $n = 2$ case

It is the universal  $C^*$ -algebra such that: (a)  $V_i$  are doubly commuting isometries; (b)  $aV_i = V_i\alpha_i(a)$ ; and (c) we have

- c.1  $a(I - V_1 V_1^*) = 0$  for all  $a \in \bigcap_n \alpha_2^{-n}(\ker \alpha_1^\perp)$ ;
- c.2  $a(I - V_2 V_2^*) = 0$  for all  $a \in \bigcap_n \alpha_1^{-n}(\ker \alpha_2^\perp)$ ;
- c.3  $a(I - V_1 V_1^*)(I - V_2 V_2^*) = 0$  for all  $a \in (\ker \alpha_1 \cdot \ker \alpha_2)^\perp$ .

### III. The Cuntz-Nica-Pimsner algebra

#### Definition (Davidson-Fuller-K. 2014)

The *Cuntz-Nica-Pimsner algebra*  $\mathcal{NO}(A, \alpha)$  of  $\alpha: \mathbb{Z}_+^n \rightarrow \text{End}(A)$  is the universal  $C^*$ -algebra generated by  $A$  and  $V_i$  so that:

1.  $V_i$  are commuting isometries;
2.  $aV_i = V_i\alpha_i(a)$ ; and
3.  $a \cdot \prod_{i \in \text{supp}(\underline{x})} (I - V_i V_i^*) = 0$  for  $a \in \bigcap_{\underline{y} \in \underline{x}^\perp} \alpha_{\underline{y}}^{-1} \left( \left( \bigcap_{i \in \text{supp}(\underline{x})} \ker \alpha_i \right)^\perp \right)$ .

#### Corollary (Davidson-Fuller-K. 2014)

1. The  $C^*$ -envelope of  $A \times_{\alpha}^{\text{nc}} \mathbb{Z}_+^n$  is  $\mathcal{NO}(A, \alpha)$ .
2. For  $\alpha: \mathbb{Z}_+^n \rightarrow \text{End}(A)$  there exists a dilation  $\beta_\infty: \mathbb{Z}^n \rightarrow \text{Aut}(B_\infty)$  such that  $\mathcal{NO}(A, \alpha) \stackrel{\text{sMe}}{\sim} B_\infty \rtimes_{\beta_\infty} \mathbb{Z}^n$ .
3.  $\mathcal{NO}(A, \alpha)$  satisfies the GIUT with respect to the CNP representations.

### III. Simplicity

#### Theorem (Davidson-Fuller-K. 2014)

$$\begin{array}{ccc}
 \alpha: \mathbb{Z}_+^n \rightarrow \text{End}(A) & \longrightarrow & \mathcal{NO}(A, \alpha) \simeq \mathbf{C}_{\text{env}}^*(A \times_{\alpha}^{\text{nc}} \mathbb{Z}_+^n) \\
 \downarrow \text{dilation} & & \downarrow \text{strong} \\
 \beta_{\infty}: \mathbb{Z}^n \rightarrow \text{Aut}(B_{\infty}) & \longrightarrow & B_{\infty} \rtimes_{\beta_{\infty}} \mathbb{Z}^n
 \end{array}
 \left. \vphantom{\begin{array}{ccc} \alpha & & \mathcal{NO} \\ \downarrow & & \downarrow \\ \beta_{\infty} & & B_{\infty} \rtimes \mathbb{Z} \end{array}} \right\} \text{Morita equivalent}$$

#### Corollary (Davidson-Fuller-K. 2014)

Let  $A = C(X)$  and let  $\phi_s: X \rightarrow X$  related to  $\alpha_s: X \rightarrow X$ . TFAE:

1.  $(A, \alpha)$  is minimal and  $\{x \in X \mid \phi_s(x) \neq \phi_r(x)\}^{\circ} = \emptyset$  for all  $s, r \in \mathbb{Z}_+^n$  (top. free);
2.  $(B_{\infty}, \beta_{\infty})$  is minimal and topologically free;
3.  $B_{\infty} \rtimes_{\beta_{\infty}} \mathbb{Z}$  is simple;
4.  $\mathcal{NO}(A, \alpha)$  is simple.

### III. Exactness/Nuclearity

#### Theorem (K. 2014)

$\mathcal{NO}(A, \alpha)$  is exact if and only if  $A$  is exact.

#### Theorem (K. 2014)

Let  $\beta_\infty: \mathbb{Z}^n \rightarrow \text{Aut}(B_\infty)$  be the automorphic dilation of  $\alpha: \mathbb{Z}_+^n \rightarrow \text{End}(A)$ .  
TFAE:

1. the embeddings  $A, A/I_s \hookrightarrow B_\infty$  are nuclear for all  $s \in \mathbb{Z}_+^n$ ;
2.  $B_\infty$  is nuclear;
3.  $B_\infty \rtimes_{\beta_\infty} \mathbb{Z}^n$  is nuclear;
4.  $\mathcal{NO}(A, \alpha)$  is nuclear.

#### Proposition (K. 2014)

If  $A$  is nuclear or if  $A \hookrightarrow C^*(V_{n1} a V_{n1}^* \mid a \in A, n \in \mathbb{Z}_+)$  is nuclear then  $\mathcal{NO}(A, \alpha)$  is nuclear. The converse is not true.



## IV. Remarks

### Remarks on $\mathcal{NT}(A, \alpha)$ (K. 2014)

1. There is a second variant, the Toeplitz-Nica-Pimsner algebra.
2. For this we get  $A$  is nuclear (resp. exact) if and only if  $\mathcal{NT}(A, \alpha)$  is nuclear (resp. exact).

### KMS states (K. 2014)

3. The gauge action implements an action of  $\mathbb{R}$  on the Nica-Pimsner algebras. We are able to identify all KMS states at finite temperature: for any  $T < \infty$  there is exactly one  $\text{KMS}_{1/T}$  state.
4. For  $T = \infty$  the KMS states are the tracial states and there is no bijection (there might be more than one).

## IV. Remarks

### Remarks on simplicity

5. Kalantar-Kennedy show that simplicity of the reduced  $C^*$ -crossed product is equivalent to topological freeness of the group action on a boundary.
6. We are working towards formulating this property for semigroups and showing its stability under the automorphic dilation.

### Remarks on product systems

7. Both  $\mathcal{NT}(A, \alpha)$  and  $\mathcal{NO}(A, \alpha)$  are examples of  $C^*$ -algebras associated to product systems.
8. A gauge invariance uniqueness theorem for general Toeplitz-Nica-Pimsner algebras is easy to obtain by our methods.
9. We believe that the same is true for the Cuntz-Nica-Pimsner algebras (with Adam Dor-On).

The end.